

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	)	
	)	<b>Customer No.:</b> 29000
<b>Lawrence R. FINCHAM</b>	)	
	)	<b>Confirmation No.:</b> 4826
<b>Serial No.:</b> 10/074,604	)	
	)	<b>Group Art Unit:</b> 2615
<b>Filed:</b> February 11, 2002	)	
	)	<b>Examiner:</b> Mci, Xu
<b>For:</b> SOUND SYSTEM AND METHOD	)	
FOR SOUND REPRODUCTION	)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §§ 1.97 and 1.98, this Information Disclosure Statement is submitted for the purpose of bringing to the attention of the Office the items listed in the accompanying Form PTO-1449. Copies of the listed items are enclosed for the convenience of the Examiner. The Examiner is requested to consider and make these items of information of official record in the Application.

The items identified in this Information Disclosure Statement (IDS) may or may not be "material" pursuant to 37 CFR §1.56 and the submission thereof by Applicants shall not be construed as an admission that any such patent, publication or other information referred to therein, or any combination thereof, is material or considered to be material (37 CFR §1.97(h)) or even qualifies as "prior art" under 35 USC §102 or §103 with respect to this invention unless specifically designated by Applicant as such.

Furthermore, the submission of the above listed items is not to be construed as a representation that an exhaustive search, or any search, has been made, or that additional information material to the examination of this Application does not exist.

Certification under 37 C.F.R. §1.97(e)(1)

It is hereby certified that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application (specifically, a related Japan patent application) not more than three months prior to the filing of this information disclosure statement.

This IDS is believed to be timely in that it is being submitted under 37 CFR §1.97(b)(4), that is, before the mailing of a first Office action after the filing of a request for continued examination under § 1.114 and within three months of learning of the information. Thus, no petition or fee is required. However, if the undersigned representative of Applicant is in error in this regard, then the Examiner is requested to consider this IDS as filed under §1.97(c) and is further authorized to charge any fee required by its filing to Deposit Account No. 09-0946.

Respectfully Submitted,

Dated: October 20, 2006

/Christopher A. Vanderlaan/  
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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 157835-0008	<b>SERIAL NO.</b> 10/074,604
	<b>APPLICANT:</b> Lawrence R. Fincham	
	<b>FILING DATE:</b> February 11, 2002	<b>GROUP:</b> 2644

U.S. PATENT DOCUMENTS							
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS								
EXAMINE R INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		63-502945 (equivalent to WO 87/06090)	10/27/1988	Japan	H04S	1/00		No
		56-016400	02/17/1981	Japan	H04S	1/00	English abstract only	
		56-162600	12/14/1981	Japan	H04S	1/00	English abstract only	
		WO 87/06090	10/8/1987	PCT	H04S	1/00	Yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER INITIAL							

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	